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DATE: 6/19/07

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Art Unit: 2623

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From: Dean D. Small

The Small Patent Law Group LLP

RE: Submission of IDS

Serial No.: 09/837,128

Applicant: Tazwell L. Anderson

Filed: April 18, 2001

Atty. Dkt. No.: 20973-0015US1

Title: ELECTRIC HANDHELD AUDIO/VIDEO

RECEIVER AND LISTENING/RECEIVING

**DEVICE** 

#### DOCUMENTS SUBMITTED WITH TRANSMISSION:

- Facsimile Transmittal (1 page)
- Credit Card Form (1 page)
- IDS Transmittal (1 page)
- Information Disclosure Statement of Applicant (1 page)

Total pages including cover page: 4

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PATENT

Atty. Docket No.: 20973-15US

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tazwell L. Anderson, Jr. et al

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Art Unit: 2623

Examiner: Vu, Ngoc K.

Serial No.: 09/837,128

Filed: April 18, 2001

For: ELECTRIC HANDHELD

AUDIO/VIDEO RECEIVER AND LISTENING/RECEIVING DEVICE

### INFORMATION DISCLOSURE STATEMENT

Mail Stop: AMENDMENT Commissionner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

☑ 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- 2. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
  - □ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 3 below.
- 3. Payment of all applicable fees:
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Respectfully Submitted,

Date: June 19, 2007

Dean D. Small, Reg. No. 34730 The Small Patent Law Group LLP

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number 09/837,128 RECEVED
Filing Date April 18, 2001 CENTRAL FAX CENTER
First Named Inventor Tazwell L. Anderson
Art Unit 2611 JUN 1
Examiner Name
Attorney Docket Number 20973-0015US1

Examiner initials*	Cite No.1	Occument Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	<sup>US-</sup> 5,243,415	9/1993	Vance	
	A2	<sup>US-</sup> 5,448,291	9/1995	Wickline	
	A3	<sup>US-</sup> 5,485,504	1/1996	Ohnsorge	
	A4	<sup>US-</sup> 5,585,850	12/1996	Schwaller	
	A5	<sup>US-</sup> 5,600,368	2/1997	Matthews, III	
	A5	<sup>US-</sup> 5,613,191	3/1997	Hylton et al	-
	A7	<sup>US-</sup> 5,627,915	5/1997	Rosser et al	
	A8	US- 5,663,717	9/1997	DeLuca	
	A9	<sup>US-</sup> 5,708,961	1/1998	Hylton et al	
	A10	US- 5,760,824	6/1998	Hicks, III	
	81	<sup>US-</sup> 5/793,416	8/1998	Rostoker et al	
	B2	<sup>US-</sup> 5,806,005	9/1998	Hull et al	
	ВЗ	<sup>US-</sup> 5,808,695	9/1998	Rosser et al	
	B4	US- 5,841,122	11/1998	Kirchhoff ·	
	85	US- 5,847,612	12/1998	Birteson	
	B6	<sup>US-</sup> 5,847,762	12/1998	Canfield et al	
	B7	<sup>US-</sup> 5.892,554	4/1999	DiClcco et al	
	B8	<sup>US-</sup> 5,894,320	4/1999	Vancelette	
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			IGN PATENT DOCU			
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> "Number * "Kind Code <sup>5</sup> (If known)	MM-DD-YYYY			
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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